



06.04.04

RCE # 1642
1FW

PTO/SB/30 (05-03)

Approved for use through 04/30/2003. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

REQUEST FOR CONTINUED EXAMINATION (RCE) TRANSMITTAL

Address to:
Mail Stop RCE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Application Number	09/875,823
Filing Date	June 5, 2001
First Named Inventor	HISERODT, JOHN C.
Art Unit	1642
Examiner Name	YAEN, CHRISTOPHER H.
Attorney Docket Number	IRVN-001DIV2

This is a Request for Continued Examination (RCE) under 37 C.F.R. § 1.114 of the above-identified application. Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

1. **Submission** required under 37 C.F.R. § 1.114

- a. ☐ Previously submitted
- i. ☐ Consider the amendment(s)/reply under 37 C.F.R. § 1.116 previously filed on ____
(Any unentered amendment(s) referred to above will be entered).
- ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on ____
- iii. ☐ Other ____
- b. ☒ Enclosed
- i. ☒ Preliminary Amendment
- ii. ☐ Affidavit(s)/Declaration(s)
- iii. ☐ Information Disclosure Statement (IDS)
- iv. ☒ Other **Postcard**

2. **Miscellaneous**

- a. ☐ Suspension of action on the above-identified application is requested under 37 C.F.R. § 1.103(c) for a period of ____ months. (Period of suspension shall not exceed 3 months; Fee under 37 C.F.R. § 1.17(i) required)
- b. ☐ Other ____

3. **Fees** The RCE fee under 37 C.F.R. § 1.17 (e) is required by 37 C.F.R. § 1.114 when RCE is filed.

- a. ☒ The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. **50-0815**
- i. ☒ RCE fee required under 37 C.F.R. § 1.17 (e)
- ii. ☒ Extension of time fee (37 C.F.R. §§ 1.136 and 1.17)
- iii. ☐ Other ____
- b. ☐ Check in the amount of \$ ____ enclosed
- c. ☐ Payment by credit card (Form PTO-2038 enclosed)

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name (Print/Type)	Carol L. Francis	Registration No. (Attorney/Agent)	36,513
Signature		Date	June 2, 2004

EXPRESS MAIL LABEL NO. EV 333997335 US

This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

06/07/2004 EAREGAY1 00000101 500815 09875823

01 FC:2801 385.00 DA



Atty Dkt. No.: IRVN-001DIV2
USSN: 09/875,823

EXPRESS MAIL NO. EV 333997335 US

PRELIMINARY AMENDMENT Address to: Box RCE Commissioner for Patents & Trademarks P.O. Box 1450 Arlington, VA 22313-1450	Attorney Docket Confirmation No.	IRVN-001DIV2 8672
	First Named Inventor	John C. Hiserodt
	Application Number	09/875,823
	Filing Date	June 5, 2001
	Group Art Unit	1642
	Examiner Name	Christopher H. Yaen
	Title	"Cancer Immunotherapy Using Autologous Tumor Cells Combined With Cells Expressing a Membrane Cytokine"

Dear Sir:

This Preliminary Amendment is filed with a Request for Continued Examination (RCE). Applicants timely filed a Notice of Appeal on November 3, 2003, setting the date for filing an Appeal Brief to January 3, 2004. This amendment is accompanied by a Petition for a Five Month extension of time, making the RCE and Preliminary Amendment timely filed on or before June 3, 2004.

Entry of the amendments below prior to consideration of the application on its merits is respectfully requested.